

Docket No.: P2001,0176

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : JOHANNES BAUR ET AL.

Filed : CONCURRENTLY HEREWITH

Title : RADIATION-EMITTING SEMICONDUCTOR COMPONENT AND
METHOD FOR PRODUCING THE SEMICONDUCTOR
COMPONENT

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

U.S. Patent No. 6,291,839 B1 (Lester), dated September 18, 2001 and
corresponding German Published Non-Prosecuted Patent Application DE 199 27
945 A1 (Lester), dated March 23, 2000;

U.S. Patent No. 3,343,026 (Luechinger et al.), dated September 19, 1967;

U.S. Patent No. 5,814,839 (Hosoba), dated September 29, 1998;

U.S. Patent No. 5,087,674 (Gardner et al.), dated February 11, 1992;

U.S. Patent Application Publication No. 2003/0116791 A1 (Baptist et al.), dated June
26, 2003 and corresponding PCT WO 01/93310 A2 (Baptist et al.), dated December
6, 2001;

U.S. Patent Application Publication No. 2003/0127654 A1 (Eisert et al.), dated July 10, 2003 and corresponding PCT WO 01/61765 A1 (Eisert et al.), dated August 23, 2001;

U.S. Patent No. 6,229,160 B1 (Krames et al.), dated May 8, 2001 and corresponding German Published Non-Prosecuted Patent Application DE 198 07 758 A1 (Krames et al.), dated December 10, 1998;

German Published Non-Prosecuted Patent Application DE 43 24 325 A1 (Rudigier et al.), dated January 27, 1994 and corresponding U.K. Patent Application GB 2 271 087 A (Rudigier et al.), dated April 6, 1994;

German Published Non-Prosecuted Patent Application DE 42 18 806 A1 (Birkenstock), dated December 9, 1993 and English abstract thereof;

German Published Non-Prosecuted Patent Application DE 27 27 508 A1 (Hähnlein), dated January 4, 1979, Light Emitting Diode with High-Efficiency;

European Patent Application EP 0 611 131 A1 (Watanabe et al.), dated August 17, 1994;

European Patent Application EP 0 405 757 A2 (Haitz), dated January 2, 1991;

European Patent Application EP 0 442 002 A1 (Köck et al.), dated August 21, 1991 and English abstract thereof;

European Patent Application EP 0 810 674 A2 (Motoki et al.), dated December 3, 1997;

Patent Abstracts of Japan 03227078 A (Koji), dated October 8, 1991.

If no translation of pertinent portions of any foreign language patents or publications mentioned above is included with the aforementioned copies of those applications, patents and/or publications, it is because no existing translation is readily available to the applicant.

Respectfully submitted,

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REG. NO. 29,308



For Applicants

Date: September 9, 2003

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FORM PTO-1449 (SUBSTITUTE) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b))				Attorney Docket No.: P2001,0176 Appl. No.: <hr/> Applicant: JOHANNES BAUR ET AL. <hr/> Filing Date: September 9, 2003 Group Art Unit:			
EXAMINER INITIALS		PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE
	A						
	B						
	C						
	D						
	E						
	F						
	G						
	H						
	I						
FOREIGN PATENT DOCUMENT							
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES NO
	J	01/93310 A2	12/6/01	WIPO			
	K	01/61765 A1	8/23/01	WIPO			
	L	2 271 087 A	4/6/94	U.K.			
	M	0 611 131 A1	8/17/94	Europe			
	N	0 405 757 A2	1/2/91	Europe			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
EXAMINER				DATE CONSIDERED			

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FOREIGN PATENT DOCUMENT							
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES NO
	J	0 442 002 A1	8/21/91	Europe			
	K	0 810 674 A2	12/3/97	Europe			
	L	03227078 A	10/8/91	Japan			
	M						
	N						
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
EXAMINER				DATE CONSIDERED			

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EXAMINER INITIALS	PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILING DATE
	A	6,291,839 B1	9/18/01	Lester		
	B	3,343,026	9/19/67	Luechinger et al.		
	C	5,814,839	9/29/98	Hosoba		
	D	5,087,674	2/11/92	Gardner et al.		
	E	2003/0116791 A1	6/26/03	Baptist et al.		
	F	2003/0127654 A1	7/10/03	Eisert et al.		
	G	6,229,160 B1	5/8/01	Krames et al.		
	H					
	I					

FOREIGN PATENT DOCUMENT							
DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRANSL. YES NO		
	J	199 27 945 A1	3/23/00	Germany			
	K	198 07 758 A1	12/10/98	Germany			
	L	43 24 325 A1	1/27/94	Germany			
	M	42 18 806 A1	12/9/93	Germany			
	N	27 27 508 A1	1/4/79	Germany			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
EXAMINER	DATE CONSIDERED	